

APPLICATION DATA SHEET

APPLICATION INFORMATION:

Application Type: Regular

Subject Matter: Utility

Suggested Classifications:

Suggested Group Art Unit:

CD-ROM or CD-R:

Title: METHOD AND SYSTEM  
FOR THE PROTECTION OF  
BROKER AND INVESTOR  
RELATIONSHIPS,  
ACCOUNTS AND  
TRANSACTIONS

Attorney Docket Number: 124256.0401

Request for Early  
Publication: NO

Request for Non-  
Publication: NO

Suggested Drawing Figure:

Total Drawing Sheets: 38

Small Entity: NO

Petition Included: NO

Secrecy Order in Parent  
Application: NO

**APPLICANT INFORMATION:**

Applicant Authority Type: Inventor

Primary Citizenship Country: U.S.

Given Name: Steven

Middle Name: Kirk

Family Name: Donoho

City of Residence: Chantilly

State or Province of Residence: VA

Country of Residence: U.S.

Street of Mailing Address: 4301 Warner Lane

City of Mailing Address: Chantilly

State or Province of Mailing Address: VA

Postal or Zip Code of Mailing Address: 20151

**APPLICANT INFORMATION:**

Applicant Authority Type: Inventor

Primary Citizenship Country: U.S.

Given Name: Patrick

Middle Name: Kiplinger

Family Name: Hyde

City of Residence: Falls Church

State or Province of Residence: VA

Country of Residence: U.S.

Street of Mailing Address: 2909 Brook Drive

City of Mailing Address: Falls Church

State or Province of Mailing Address: VA

Postal or Zip Code of Mailing Address: 22042

**APPLICANT INFORMATION:**

Applicant Authority Type: Inventor

Primary Citizenship U.S.  
Country:

Given Name: Nimish

Middle Name:

Family Name: Sheth

City of Residence: Falls Church

State or Province of VA  
Residence:

Country of Residence: U.S.

Street of Mailing Address: 6200 Wilson Blvd.,  
Suite 1201

City of Mailing Address: Falls Church

State or Province of VA  
Mailing Address:

Postal or Zip Code of 22044  
Mailing Address:

**APPLICANT INFORMATION:**

Applicant Authority Type: Inventor

Primary Citizenship Country: U.S.

Given Name: Anil

Middle Name: Sesha

Family Name: Kumar Venuturupalli

City of Residence: Fairfax

State or Province of Residence: VA

Country of Residence: U.S.

Street of Mailing Address: 1267 Summit Manor Drive, #311

City of Mailing Address: Fairfax

State or Province of Mailing Address: VA

Postal or Zip Code of Mailing Address: 22033

**CORRESPONDENCE INFORMATION:**

Name: Pepper Hamilton LLP

Street of Mailing Address: 500 Grant Street,  
One Mellon Center  
50<sup>th</sup> Floor

City of Mailing Address: Pittsburgh  
State or Province of PA  
Mailing Address:

Postal or Zip Code of 15219  
Mailing Address:

Telephone: 412.454.5000

Facsimile: 412.281.0717

**REPRESENTATIVE INFORMATION:**

<u>Representative</u> <u>Designation:</u>	<u>Registration Number:</u>	<u>Name:</u>
Primary	45,111	James M. Singer
Associate	48,741	Joseph Melnik
Associate	42,891	Raymond A. Miller
Associate	48,535	John W. Ongman
Associate	51,852	Kathleen Johnson
Associate	52,122	John Pillion
Associate	52,278	Carissa A. Tener
Associate	54,163	Joseph T. Helmsen